

BAKER BOTTS LLP

Please type a plus sign (+) inside this box → **+**

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/509,404
Filing Date	9/24/2004
First Named Inventor	Miyazaki
Group Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	A36369 (074224.0143)

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
 - ☐ Appeal Communication to Board of Appeals and Interferences
 - ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 - ☐ Proprietary Information
 - ☐ Status Letter
 - ☒ Other Enclosure(s) (please identify below):
- PTO Form 1449
Five references
Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Gary M. Butter
PTO Reg: ~~38,587~~ 33,841

Date

January 5, 2005

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: January 5, 2005

Typed or printed name

Gary M. Butter

Signature

Date

January 5, 2005



BAKER BOTTS LLP

Attorney Docket Number: A36369 (074224.0143)

Title: METHODS OF AUSTENITIC STAINLESS STEEL THIN STRIP
CASTING

Use Space Below for Additional Information:



BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number	10/509,404
Filing Date	9/24/2004
First Named Inventor	Miyazaki
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	A36369 (074224.0143)

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims - 20 = 0 X Fee from below = Fee Paid
Independent Claims - 3 = 0 X Fee from below = Fee Paid
Multiple Dependent X Fee from below = Fee Paid

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)

Gary M. Butter

Registration No.
(Attorney/Agent)

38,587-33,841

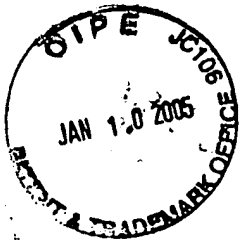
(Complete if applicable)

Telephone 212.408.2500

Signature

Date

January 5, 2005



DT03 Rec'd PCT/PTO 10 JAN 2005 PCT

A363 CT-USA 074224.0143
PATENT


#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Miyazaki, Masafumi *et al.*
Serial No. : 10/509,404 Examiner : To be assigned
Filed : 9/24/2004 Group Art Unit: To be assigned
For : METHODS OF AUSTENITIC STAINLESS STEEL THIN STRIP
CASTING

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

January 5, 2005Gary M. Butter
Attorney Name
Signature33,841
PTO Registration NoJanuary 5, 2005
Date of Signature

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98, applicants respectfully request that the documents listed below in reverse chronological order and on the accompanying PTO 1449 be considered by the Examiner and made of record in the above-referenced application. Copies of the documents listed are enclosed.

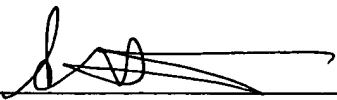
1. Japanese Unexamined Patent Publication No. 2000-219919, filed August 8, 2000.
2. Japanese Patent No. 2851252 (Japanese Unexamined Patent Publication No. Hei 8-267191), published October 15, 1996.
3. Japanese Unexamined Patent Publication No. Hei 7-268556, filed October 17, 1995.
4. Japanese Unexamined Patent Publication No. Hei 5-293601, filed November 9, 1993.
5. Japanese Unexamined Patent Publication No. Hei 5-23861, filed February 2, 1993.

Identification of the listed documents is not to be construed as an admission of the applicants or attorneys for applicants that such citations are available as “prior art” against the subject application. If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute “prior art” under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

Pursuant to 37 C.F.R. § 1.97(b)(3), this Information Disclosure Statement is being filed, the applicants believe, before the mailing date of a first Office Action on the merits. Thus, there should be no fee required for this submission. However, if any fee is required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377.

Respectfully submitted,
BAKER BOTTS L.L.P.

By: 
Gary M. Butter
Patent Office Reg. No. 33,841

30 Rockefeller Plaza
44th Floor
New York, NY 10112
(212) 408-2546
Attorney for Applicants

JAN 10 2005

Form PTO-1149 (Rev. 10-16-95) Department of Commerce
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.
A36369
(074224.0143)

Serial No.
10/509,404

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant
Miyazaki, Masafumi *et al.*

Filing Date
To be assigned

Group
To Be Assigned

Examiner
To Be Assigned

U.S. PATENT DOCUMENTS

*Exam. Initial.	No.	Document No.	Date	Name	Class	Subclass	Filing Date if Approximate.

FOREIGN PATENT DOCUMENTS

Exam Initial	No.	Document No.	Date	Country	Class	Subclass	Translation Yes No
		Japanese Unexamined Patent Publication No. 2000-219919	filed August 8, 2000	Japan			
		Japanese Patent No. 2851252 (Japanese Unexamined Patent Publication No. Hei 8-267191)	published Oct. 15, 1996	Japan			
		Japanese Unexamined Patent Publication No. Hei 7-268556	filed October 17, 1995	Japan			
		Japanese Unexamined Patent Publication No. Hei 5-293601	filed Nov. 9, 1993	Japan			
		Japanese Unexamined Patent Publication No. Hei 5-23861	filed Feb. 2, 1993	Japan			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

Exam Initial	No.	

NY02:506829.1

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.